



Section 21. CONTROLLED ACCESS POLICY.

Railroad and the Supplier have a mutual interest in providing a safe workplace for the employees of both parties and in maintaining the integrity and security of Railroad's facilities. To help ensure this goal, the Railroad has instituted a Controlled Access Policy. All persons seeking admission to Railroad property will apply (the "Applicants") for admission to the property. Supplier and its subcontractors, including its personnel and employees, must be in full compliance with the Controlled Access Policy within thirty (30) days of performing Work on Railroad property. Supplier and its subcontractors shall, at their sole cost and expense, conduct background investigations of Applicants prior to their admission to the property.

Prior to any new or existing employee or subcontractor of Supplier working at or regularly visiting any Railroad facility, Supplier or subcontractor shall register with Railroad's approved risk assessment company (*currently eRailsafe is the Union Pacific Railroad approved risk assessment company*).

- A. After registration, Applicants will be required to complete online training and testing. The program testing requires "Security Awareness" and "Contractor Minimum Safety Training". Additional training may be required based on the type of Work being done. Contact your contracting entity to determine any additional training required.
- B. After an Applicant satisfactorily completes the training, the Railroad's approved risk assessment company will do a background investigation. Railroad's approved risk assessment company will score the investigation to validate whether the Applicant meets all the criteria for admission to Railroad property. Upon validation, an Identification Badge authorizing access to Railroad property will be sent to Supplier's business address for distribution to the Applicant.
- C. Railroad shall have the right to reject any candidate to the extent permitted by all applicable law.
- D. Applicant is responsible for wearing that badge and carrying another form of government issued ID, at all times, when on Railroad property.
- E. Supplier shall be responsible for managing and recovering the Identification Badge from their employees who resign, retire or are terminated.
- F. Supplier will be responsible for enforcement of this program, however, both the Railroad and the Federal Railroad Administration may audit for compliance. Should Supplier be found out of compliance, any and all fines or penalties incurred will be the sole obligation of the Supplier.

All communication regarding Supplier's employees should be addressed to:

Union Pacific Railroad Company – Safety Department
Phone: (402) 544-8722
E-mail: erailsafe@up.com